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EL896635971US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Docket No. B-3592DIV 619037-4

Anticipated Classification  
of this application

Class \_\_\_\_\_ Subclass \_\_\_\_\_

Prior application: 09/248,676

Examiner: J. Patel

Art Unit: 2164

Commissioner of Patents and Trademarks  
Box New Patent Application  
Washington, D.C. 20231

J1046 U.S. PRO  
10/052363  
01/18/02

Sir:

**CONTINUATION OR DIVISIONAL FILING UNDER 37 CFR 1.53(b)**

This is a request for filing a

☐ Continuation ☒ Divisional

application under 37 CFR 1.53(b), of pending prior U.S.

Application Serial No. 09/248,676 filed on February 11, 1999, of  
Dipankar GUPTA for **"DOCUMENT TRANSFER SYSTEMS"**.

**1. Prior Application**

☒ I hereby verify that the attached papers are a true copy  
of what is shown in my records to be the above-  
identified prior application, including the  
specification, claims, and abstract and the oath or  
declaration originally filed (see 8 for drawing  
requirements.)

NOTE: Under 37 CFR 1.53(b) practice, signing and execution of the application by the applicant  
may be omitted provided the application is a continuation or divisional which adds neither  
new matter nor new inventors to the parent application, and a copy of the original  
declaration or oath from the parent application is supplied with the new application - 37  
CFR 1.63(d)(1)

**CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this paper and the documents referred to as attached therein are  
being deposited with the United States Postal Service on this date January 18, 2002 in an  
envelope as "Express Mail Post Office to Addressee" service under 37 CFR 1.10, Mailing Label  
Number EL896635971US addressed to the

"Commissioner of Patents and Trademarks  
BOX NEW PATENT APPLICATION  
Washington, D.C. 20231"

Karyn Lao

(Type or print name of person mailing paper)



(Signature of person mailing paper)

NOTE: Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed  
thereon prior to mailing - 37 CFR 1.10(b).

2. Amendments

☐ Cancel in this application original claims \_\_\_\_ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

☒ A preliminary amendment is enclosed.

NOTE: Only amendments reducing the number of claims will be entered before calculating the final fee.

3. Fee Calculation

CLAIMS FOR FEE CALCULATION

Number Filed	Number Extra	Rate	Basic Fee \$740.00
Total Claims 12	-20= 0	x \$ 18.00	0
Independent Claims 3	-03= 0	x \$ 84.00	0
Multiple dependent Claim(s), if any	0	x \$280.00	0

☐ The fee for extra claims is not being paid at this time.

NOTE: If the fees for extra claims are not paid on filing they must be paid or the claims canceled by amendments, prior to the expiration of the time period set for response by the Patent and Trademark Office in any notice of fee deficiency. 37 CFR 1.16(d).

Filing fee calculation \$ 740.00

4. Small Entity Status

☐ a verified statement that this is a filing by a small entity is attached.

☐ a verified statement has previously been filed in the parent application (37 CFR 1.28 (a)).

Filing fee calculation (50% of above) \$ \_\_\_\_\_

NOTE: If a verified statement is filed within two (2) months of the date of payment of the first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114.

5. Fee Payment Being Made At This Time

Not enclosed

☐ no filing fee is submitted. This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.

Enclosed

☒ filing fee \$ 740.00

6. Method of Payment of Fees

☒ enclosed is a check in the amount of \$ 740.00

☐ charge account number 12-0415 in the amount of \$ \_\_\_\_\_

NOTE: Fees should be itemized in such a manner that it is clear for which purpose the fees are paid - 37 CFR 1.22(b)

7. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge the following additional fees which may be required to Account No. 12-0415:

- ☒ 37 CFR 1.16 (filing fees and presentation of extra claims)  
☒ 37 CFR 1.17 (application processing fees)  
☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b)).

NOTE: 37 CFR 1.28(b) requires "Notification of any change in status resulting in loss of entitlement to small entity status must be filed in the application...prior to paying...issue fee."

8. Drawings

- ☐ Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this request is enclosed for filing in the prior application file. (May only be used if signed by (1) the applicant, (2) the assignee of record or (3) the attorney or agent of record authorized by 37 CFR 1.138 and before payment of issue fee.)

NOTE: ~~DO NOT~~ check this box if prior case is not to be abandoned.

- ☐ Transfer the following sheet(s) of drawings from the prior application to this application \_\_\_\_\_

NOTE: Transferring sheets must be cancelled in prior application 37 CFR 1.88

- ☒ New drawings are enclosed ☒ formal ☐ informal

9. Priority - 35 U.S.C. 119

- ☒ Priority of European Patent (EP) Application No. 98301021.6 filed on 12 February 1998 is claimed under 35 U.S.C. 119.

- ☒ The certified copy has been filed in U.S. Application No. 09/248,676 filed February 11, 1999.

10. Relate Back - 35 U.S.C. 120

Amend the specification by inserting before the first line the sentence:

This is a ☐ continuation ☒ divisional of co-pending U.S. Serial No. 09/248,676 filed on February 11, 1999.

11. Assignment

- ☒ The prior application is assigned of record to

HEWLETT-PACKARD COMPANY

by assignment recorded on \_\_\_\_\_ Reel \_\_\_\_\_ Frame \_\_\_\_\_

☒ Attached is the following number of Assignments: 1 and respective new Cover Sheets. (Do **NOT** file old cover sheets.)

12. Power of Attorney

The Power of Attorney in the prior application is to:  
Richard P. Berg, Reg. No. 28,145; Mavis S. Gallenson, Reg. No. 32,464; Kam C. Louie, Reg. No. 33,008; Richard J. Paciulan, Reg. No. 28,248; John Palmer, Reg. No. 36,885.

- a. ☒ The power appears in the original papers in the prior application
- b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- c. ☐ A new power has been executed and is attached.
- d. ☒ Address all future communications to:

LADAS & PARRY  
5670 Wilshire Boulevard  
Suite 2100  
Los Angeles, CA 90036  
Telephone: (323) 934-2300  
Telefax: (323) 934-0202

- e. ☐ An Associate Power of Attorney has been executed and is attached.


(May only be completed by applicant, or attorney or agent of record).

13. Maintenance of Copendency of Prior Application

- ☐ A petition, fee and response has been filed to extend the term in the pending prior application until \_\_\_\_\_.

14. ☒ An Information Disclosure Statement is enclosed.

January 18, 2002  
Date

  
Signature  
Richard P. Berg  
Registration No. 28,145

Address of Signatory

c/o LADAS & PARRY  
5670 Wilshire Boulevard  
Suite 2100  
Los Angeles, Ca 90036-5679  
Telephone No. (323) 934-2300  
Telefax No. (323) 934-0202

- ☐ Inventor(s)  
☐ Assignee of complete interest  
☒ Attorney or agent of record  
☐ Filed under Rule 34(a)